

# TRADE CONTRACTOR PREQUALIFICATION STATEMENT

PENN STATE UNIVERSITY COLLEGE OF ENGINEERING  
RESEARCH AND TEACHING SPACE – WEST 1  
DELEGATED DGS PROJECT # 800-303  
PSU PROJECT #: 000686600



The Whiting-Turner Contracting Company  
131 Continental Drive, Suite 404  
Newark, DE 19713

Return to: Joseph Welsh via e-mail ([joe.welsh@whiting-turner.com](mailto:joe.welsh@whiting-turner.com))

All Trade Contractors / Prime Bidders are required to submit their prequalification form for consideration to bid. The undersigned certifies the statements and answers are true and correct.

See attached Project Description

**(Please Type or Print)**

**Company Name:**

Representative:

Title:

Address:

Principal Office:

Phone No.:

Principal Contact's E-Mail Address:

Annual Work Capacity (\$):

Largest Project (\$):

Current Backlog:

DBE/MBE/WBE/VET/LGBTQ:

Open Shop, Merit, or Union:

PA Vendor # [https://b2b.ies.pa.gov/apps/vendor\\_lookup/index.html](https://b2b.ies.pa.gov/apps/vendor_lookup/index.html) :

Federal ID #:

PSU College of Engineering West 1



**PAYETTE**

Lamar Johnson  
Collaborative



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*Please attach additional pages as needed for the prequalification questions to follow*

1. Is your organization legally qualified to do business in Pennsylvania?  
☐ Yes      ☐ No
2. Is your organization qualified and able to work on DGS funded projects?  
☐ Yes      ☐ No
3. Is your organization involved in any lawsuits or claims at which they are the defendant?  
☐ Yes      ☐ No

4. How many years has your organization been actively engaged in business?

5. Check the Trade Contractor bid packages that your company is interested in pursuing:

**Bid Phase 4:** Out to Bid: April 2022, Bids Due: May 2022

☐ TC27 – (AV) Audio Visual Integration

6. List the address and phone numbers of your branch offices.

7. List any union trade agreements you presently have in effect.

a. Are all of your union trade benefits current?

☐ Yes      ☐ No      ☐ N/A

8. Have you ever failed to complete any work awarded to you? If so, note what, when, where, and why.

☐ Yes      ☐ No

9. Have you ever performed work for The Whiting-Turner Contracting Company? If so, state project names, locations, work performed and the Whiting-Turner representative for each respective project.

☐ Yes      ☐ No



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10. Have you ever performed work for The Pennsylvania State University? If so, state project names, locations, work performed and the PSU representative for each respective project.

☐ Yes      ☐ No

11. Bank references: **(name, address and phone number)**

12. Name of Insurance Company: **(name, address and phone number of agent, current limits).**

13. Name of Bonding Company: **(name, address and phone number of agent, current limits, provide letter from bonding company stating you are bondable for a \$2.5-million dollar project).**

14. Surety References: **(name, address and phone number of agent, current limits).**



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15. List the major construction projects of similar size and scope that your organization has completed in the last five (5) years. Designate the project name, owner's representative phone #, architect phone #, your status as prime or subcontractor, General Contractor/Construction Manager reference, contract amount, schedule, type of project (**attach separate sheet or resume**).
16. List your company's Worker's Compensation/Interstate Experience Modification Rate for the most recent 3 years. (Attach authentication from your insurance carrier of state fund (on their letterhead) verifying the EMR data.)

Year	EMR
2019	
2020	
2021	

17. Use your 3 most recent years' OSHA 300A (Summary) forms to fill-in the number of cases for each of the following categories:

a.

	2019	2020	2021
<b>Number of fatalities</b> <i>line "G" on OSHA 300A Form</i>			
<b>Number of lost workday cases</b> <i>line "H" on OSHA 300A Form</i>			
<b>Number of job restriction cases</b> <i>line "I" on OSHA 300A Form</i>			
<b>Number of other recordable cases</b> <i>line "J" on OSHA 300A Form</i>			
<b>Total hours worked by all employees</b>			

b.

	2019	2020	2021
<b>OSHA Total Recordable Incidents Rate (TRIR)</b> <i>(Line "H" + Line "I" + Line "J") x 200,000, Divided by total employee hours worked</i>			

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c.

	2019	2020	2021
<b>OSHA Lost Workday Incidence Rate (LTIR)</b> <i>Line "H" x 200,000,</i> <i>Divided by total employee hours worked</i>			

18. How many OSHA violations has your company received in the last 3 years? (Include all from parent/subsidiaries as well)

a.

Year	# of Violations
2019	
2020	
2021	

b. Were any of the citations willful or repeat violations?

☐ Yes ☐ No

19. Do you have a full-time qualified person responsible for safety within your company?

☐ Yes ☐ No

20. Do you have a written company safety policy and program?

☐ Yes ☐ No

21. Does your company have a substance abuse policy?

☐ Yes ☐ No

22. Do you have a return to work light duty program?

☐ Yes ☐ No

23. Does your company provide safety training for all employees?

☐ Yes ☐ No

24. Does your company have a program recognizing employees for safety performance excellence?

☐ Yes ☐ No

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25. Does your company have a written disciplinary program in place for safety violations?

☐ Yes ☐ No

26. Does your company review the safety management systems of your subcontractors?

☐ Yes ☐ No

27. Does your company conduct accident/incident/near miss investigations?

☐ Yes ☐ No

28. Does your company have a formal, written job/task hazard analysis process?

☐ Yes ☐ No

29. Does your company have a formal, written COVID-19 work policy in place? If so, please attach.

☐ Yes ☐ No

30. Attach a dated financial statement or balance sheet for your company.

a. Name of firm preparing statement:

b. Address:

31. Has your firm ever had financial difficulties that resulted in declaring Chapter 11?

☐ Yes ☐ No

32. Have any vendors put liens against your firm?

☐ Yes ☐ No

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33. If your firm is a diverse business (MBE/WBE/VET/LGBTQ), list all locations in which you are certified and the certification numbers.

Dated at  
this                      day of

Name of Organization:

Address:

By:

Title:

Signature:

The Penn State University West 1 project is an estimated \$167M, 284,000 square foot new Research & Teaching building on the West Campus of Penn State – University Park Campus in State College, Pennsylvania. The project will consist of a new building located at the terminus of the Westgate ramp on the north side of the new West Quad. The West 1 building will be PSU's new "Heart of The College of Engineering" and "iconic arrival point at the West Campus", housing multiple College of Engineering programs including: The Department of Aerospace and Acoustics; the Department of Architectural Engineering; and the Department of Civil Engineering. The space typologies in the program include the following: General Purpose Classrooms, Teaching Labs, Wet Labs, Small Equipment Labs, Large Equipment Labs, Computational Labs, Office and Departmental Commons, Conference Space, the Learning Commons, general Building Support spaces, and a Café. All have different standards, subgroups and benchmarks that continue to be verified and evolve through the design process.

West 1 will have a large auditorium in the basement, as well as additional general-purpose classrooms of various sizes, group study rooms, faculty offices, and multi-media production rooms. There will be teaching labs and various specialized research labs such as the Pressurized Wind Tunnel, Anechoic Chambers, and Flight Simulators.

